



**EUA**

European University Association



# **EUA questionnaire on Open Access** *Results survey 2016/17*

# Questionnaire on Open Access

## Key Characteristics

**Focused on the degree of implementation of institutional policies on:**

- **Open Access to research publications**
- **Research Data Management**
- **Open Access to research data**

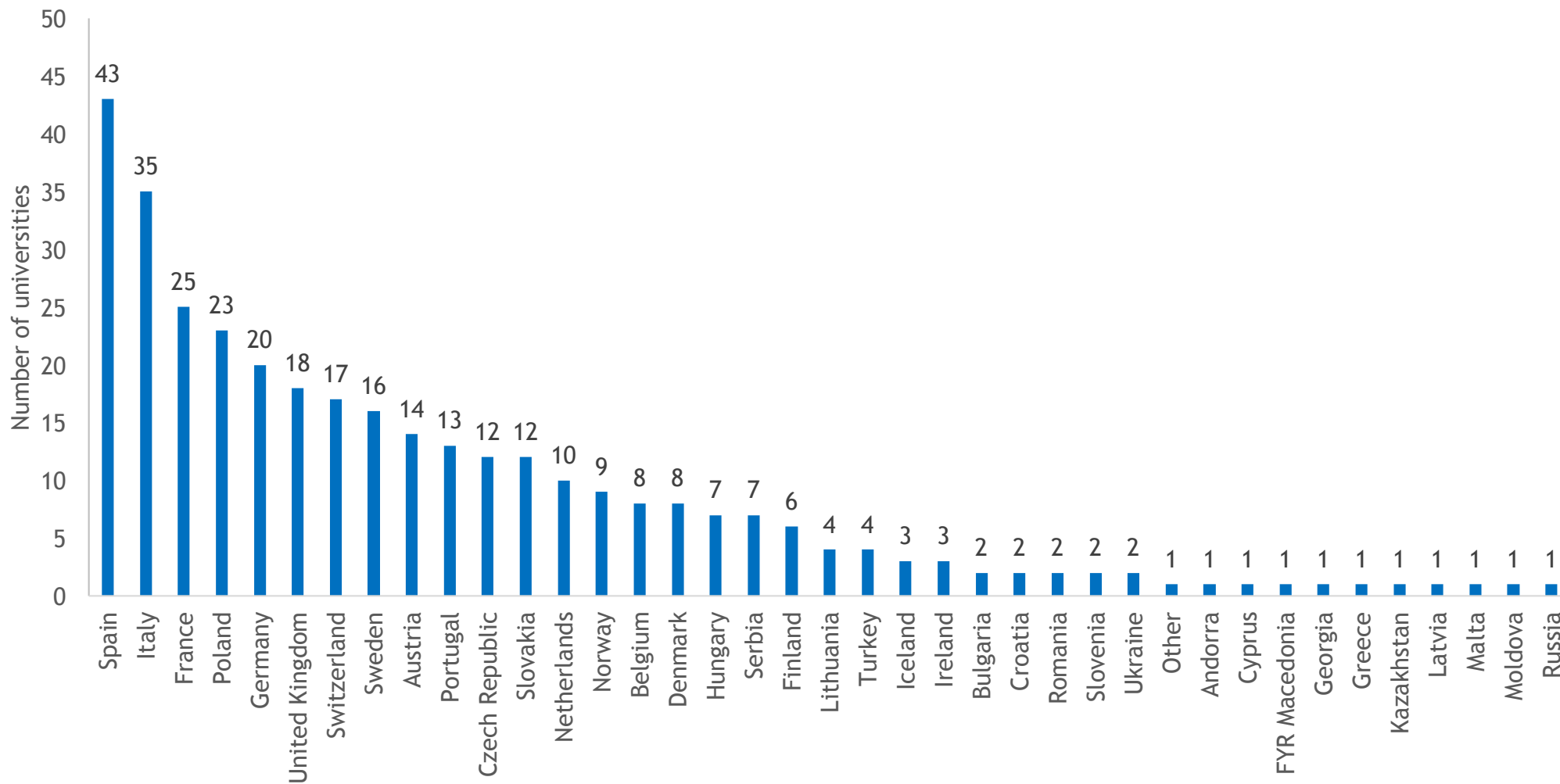
*Deadline for responses: 31 March 2017*

**Respondents:**

- **338 universities from 39 countries** (2015/16: 169 institutions; 2014: 106 institutions; 100% increase compared to 2015/16)

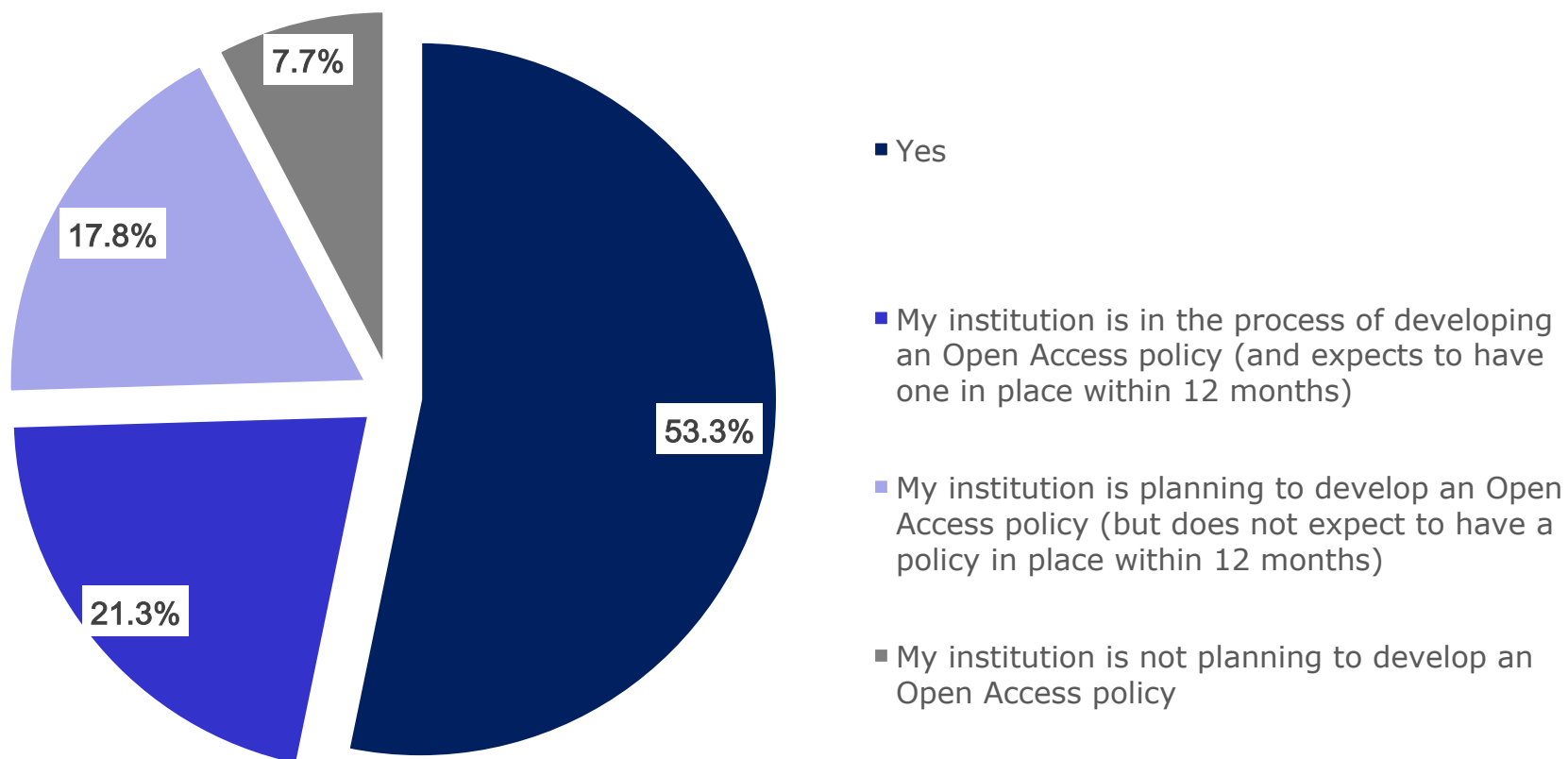
# Questionnaire on Open Access 2016/17

- Participants: **338** universities from **39** countries



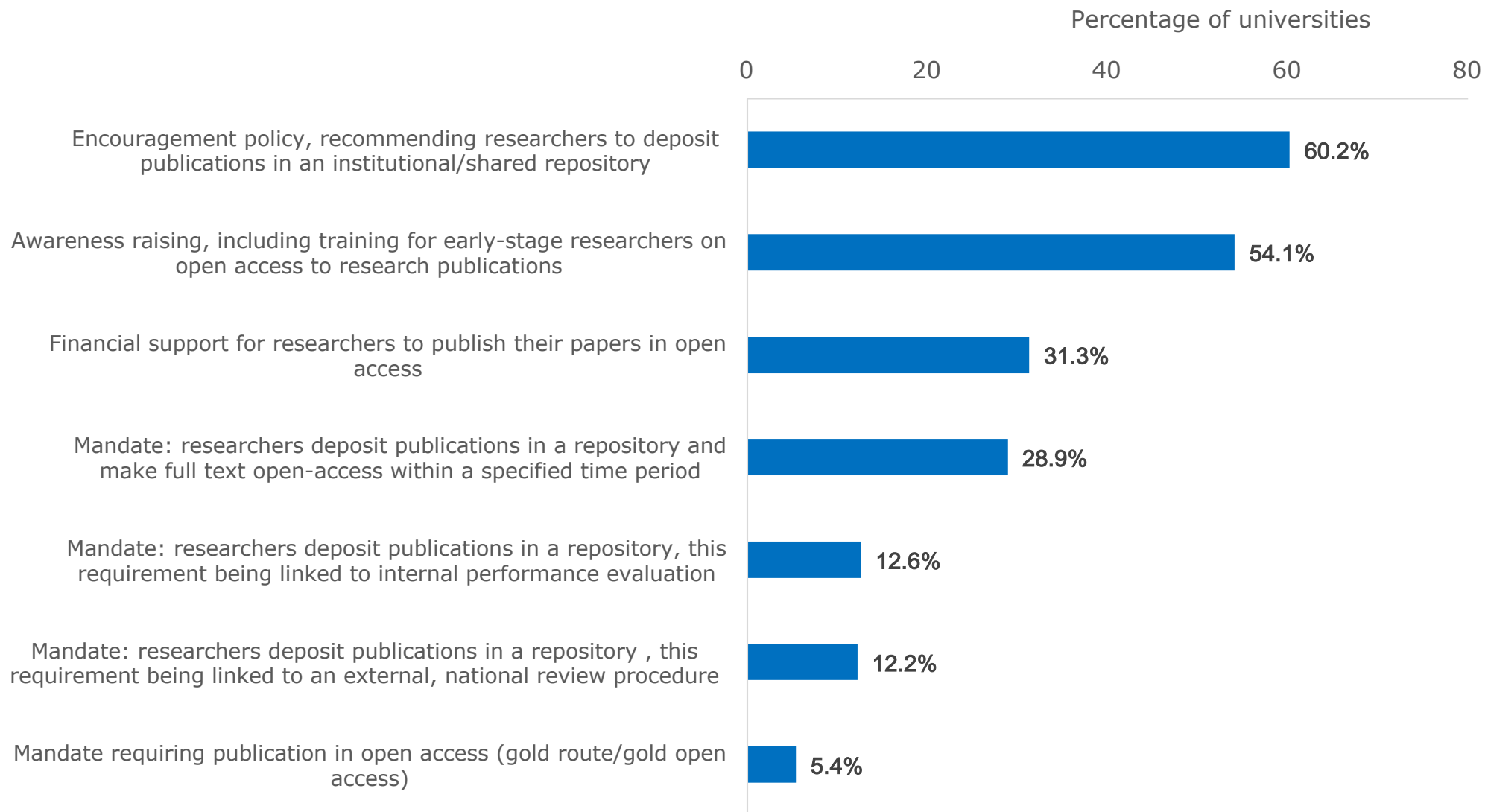
## Institutional policies on Open Access

### Share of institutions which have a policy of Open Access (OA) to research publications



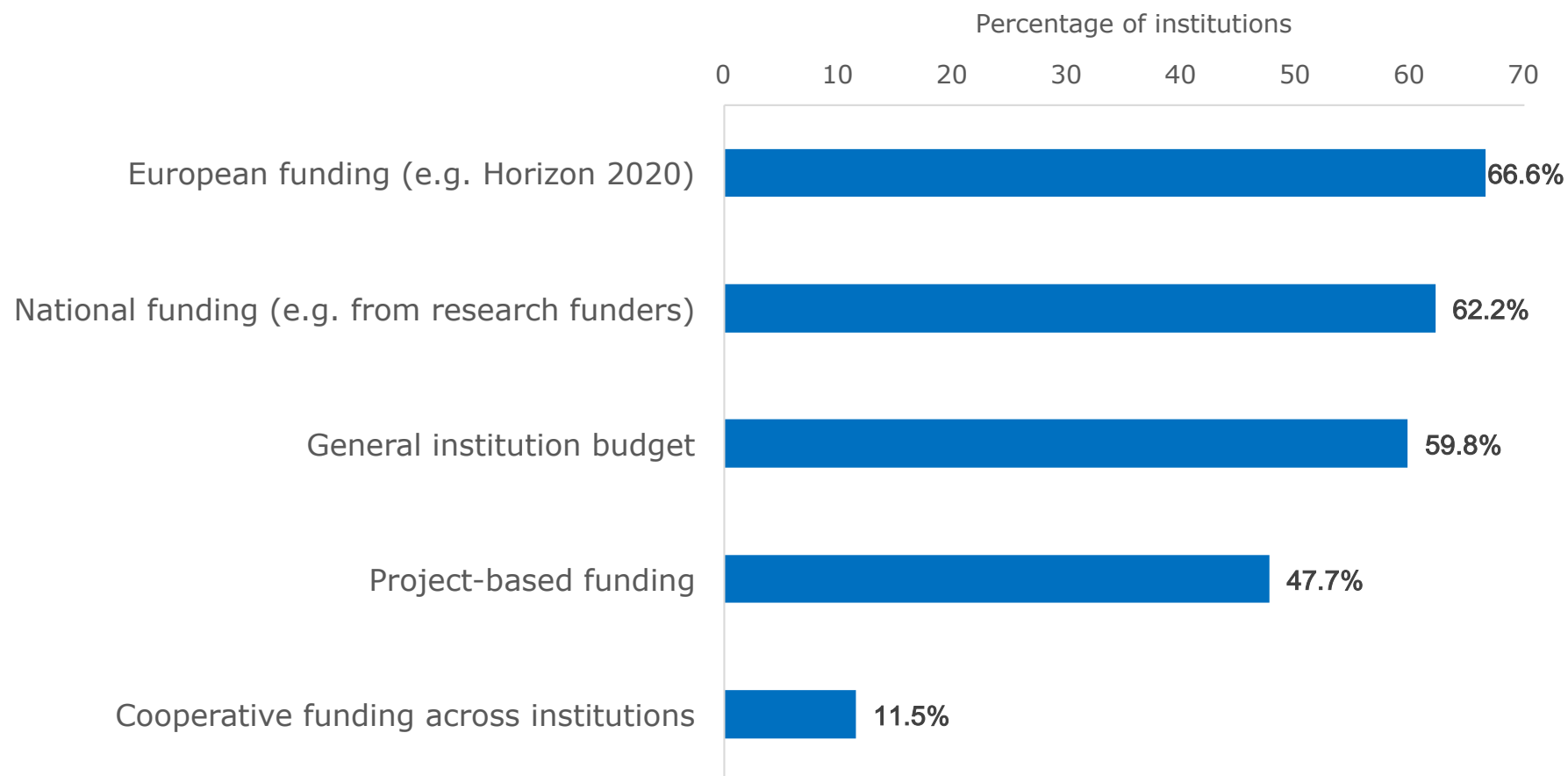
Number of respondents: 338/338

## Key elements of institutional OA policies



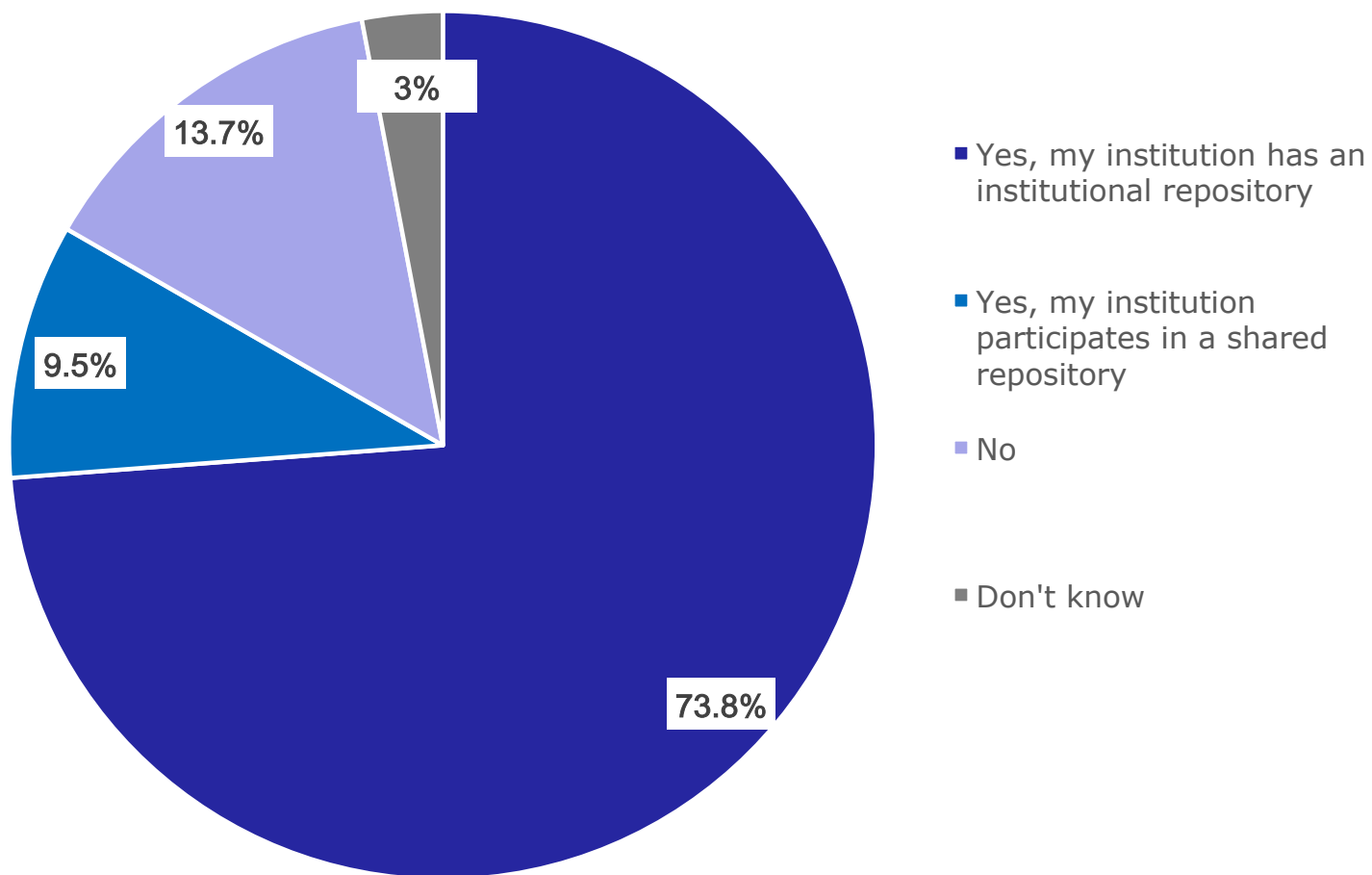
Number of respondents: 294/312. Question only applicable to institutions who replied “Yes”, “In the process of developing and OA policy” or “Planning to develop an OA policy” to Q1 (see slide 4). Multiple-choice question.

## Available funding sources for supporting Open Access to research publications



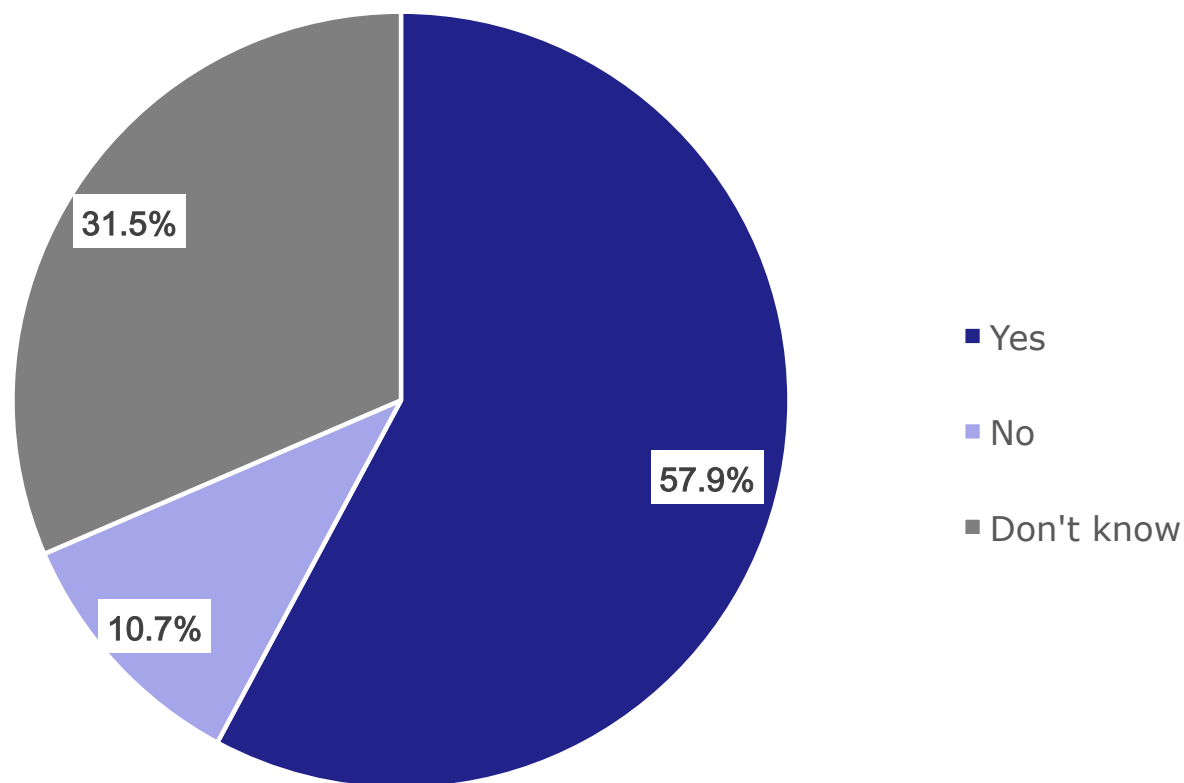
Number of respondents: 323/338. Multiple-choice question.

## Institutional repositories



Number of respondents: 336/338

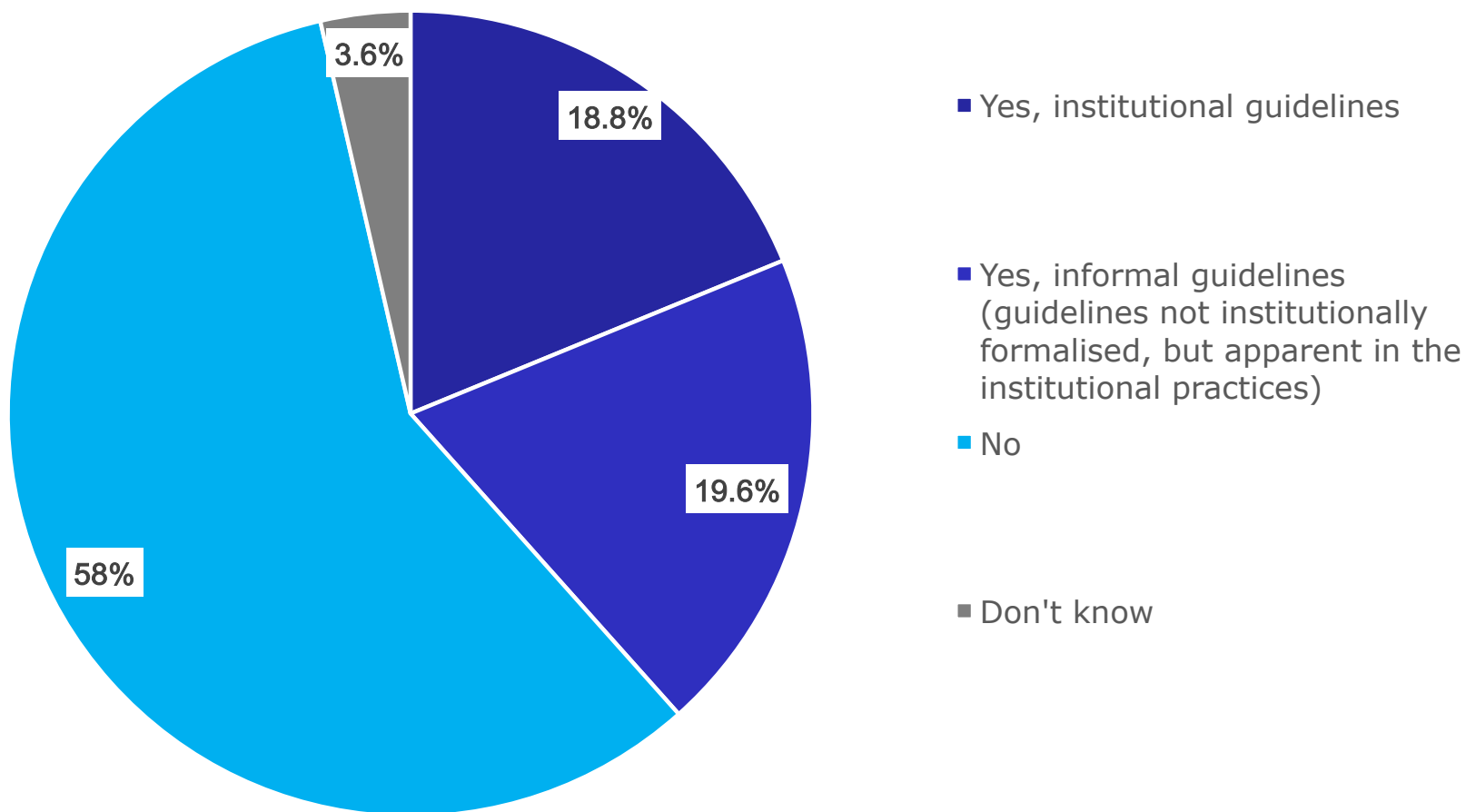
## Increase in deposit rate in the repository since OA policy adoption



Number of respondents: 178/180. Question only applicable to institutions who replied "Yes" to Q1 (see slide 4).

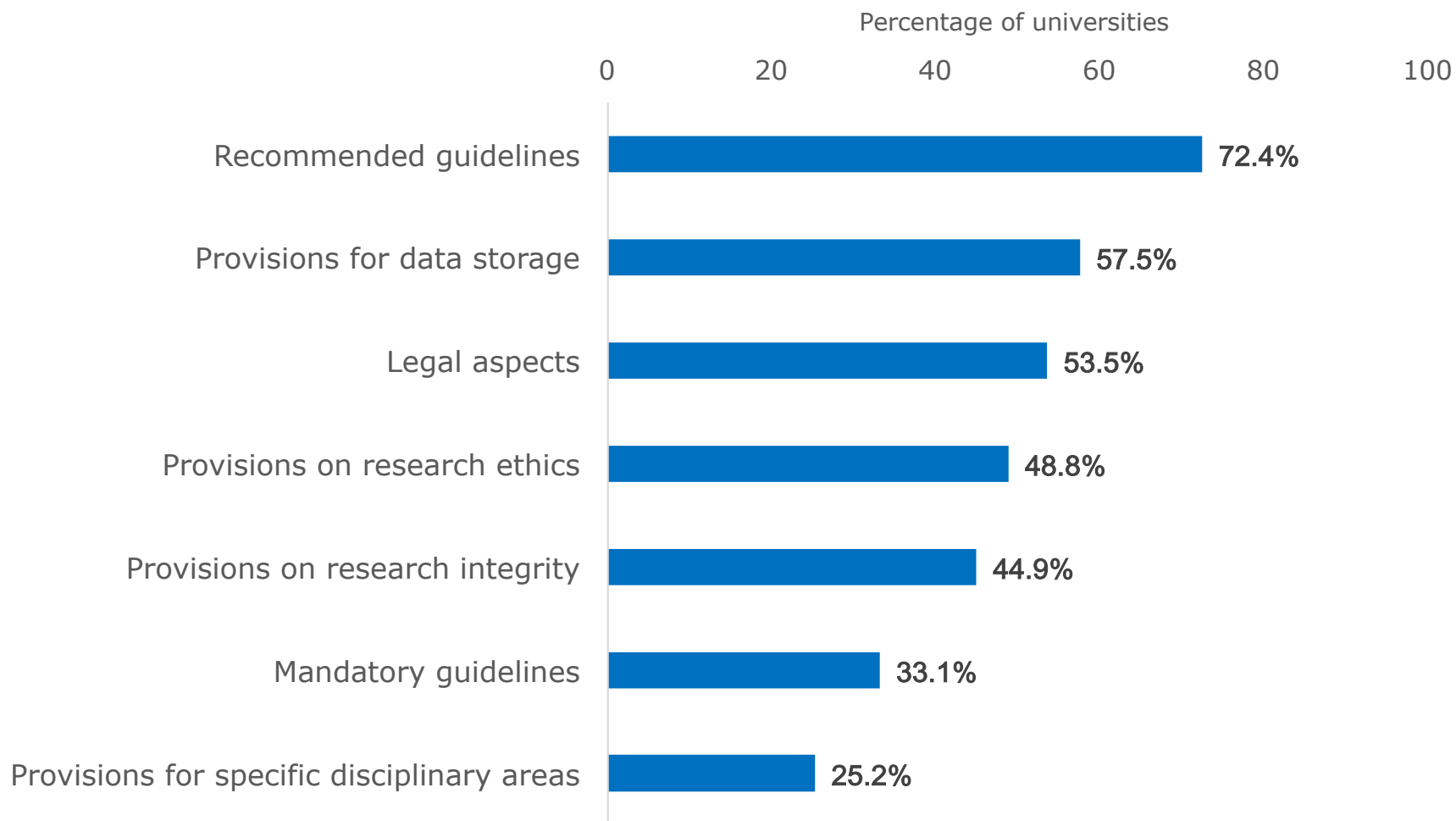


## Existence of institutional guidelines on research data management



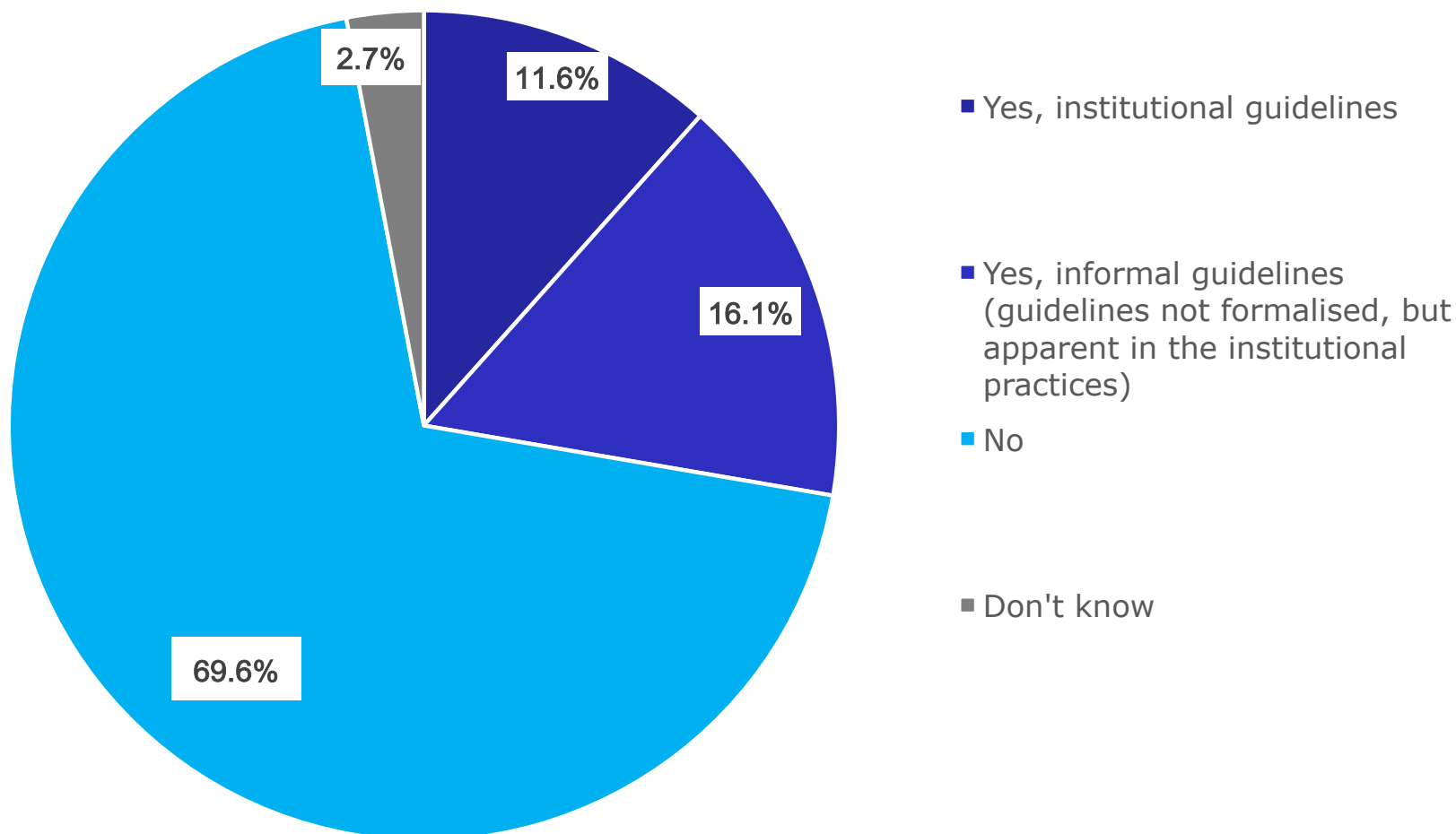
Number of respondents: 336/338

## Key elements of guidelines on research data management



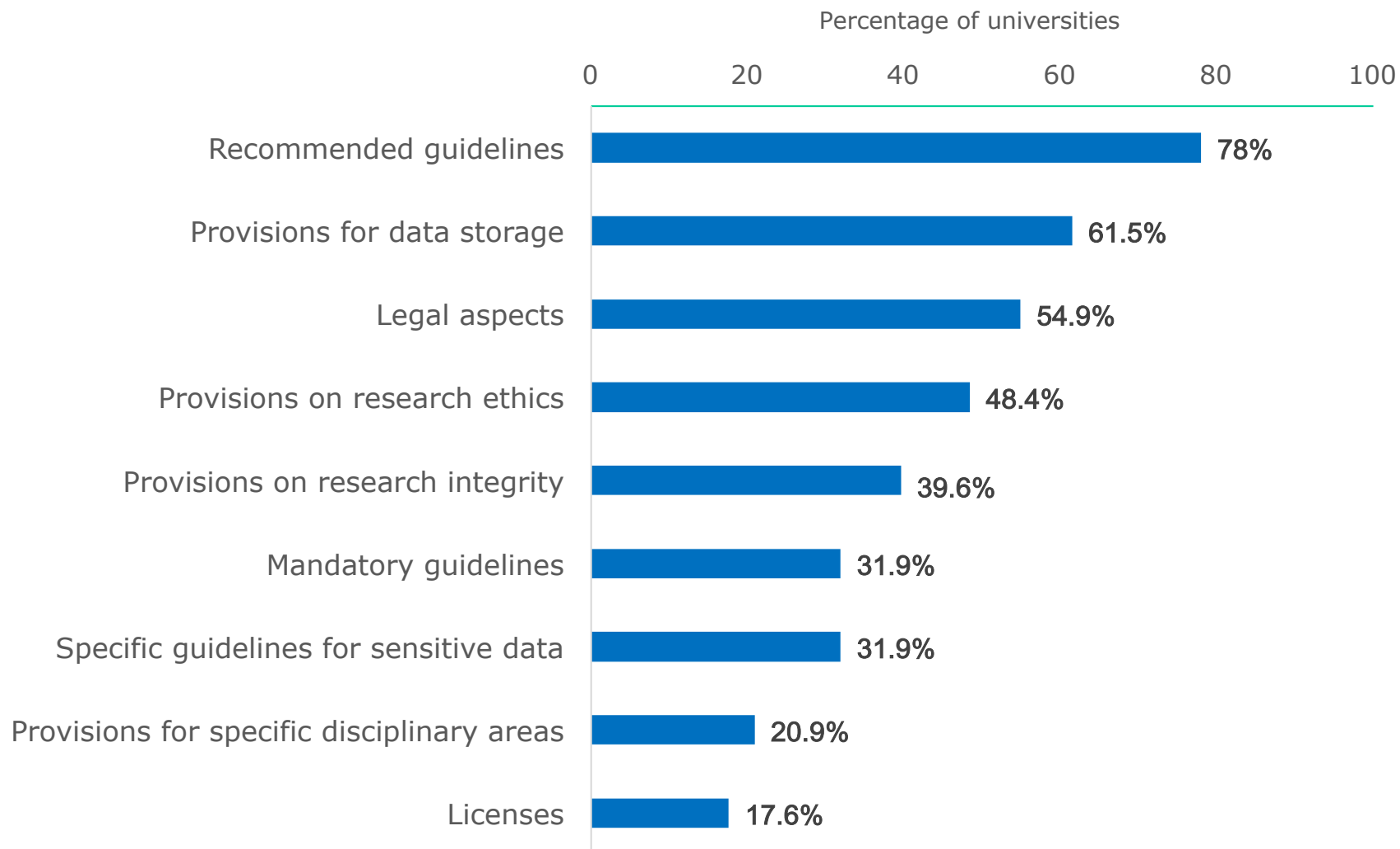
Number of respondents: 127/129. Question only applicable to institutions who replied "Yes" to Q14 (see slide 9). Multiple-choice question.

## Existence of institutional guidelines on OA to research data



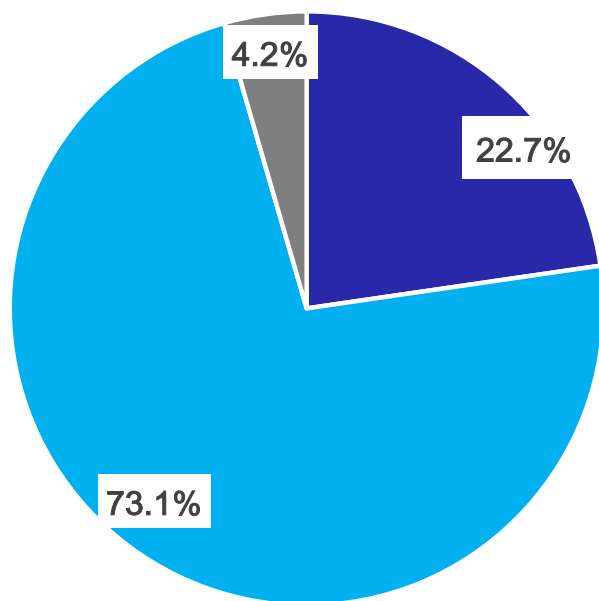
Number of respondents: 336/338

## Key elements of guidelines on OA to research data



Number of respondents: 91/93. Question only applicable to institutions who replied "Yes" to Q15 (see slide 11) . Multiple-choice question.

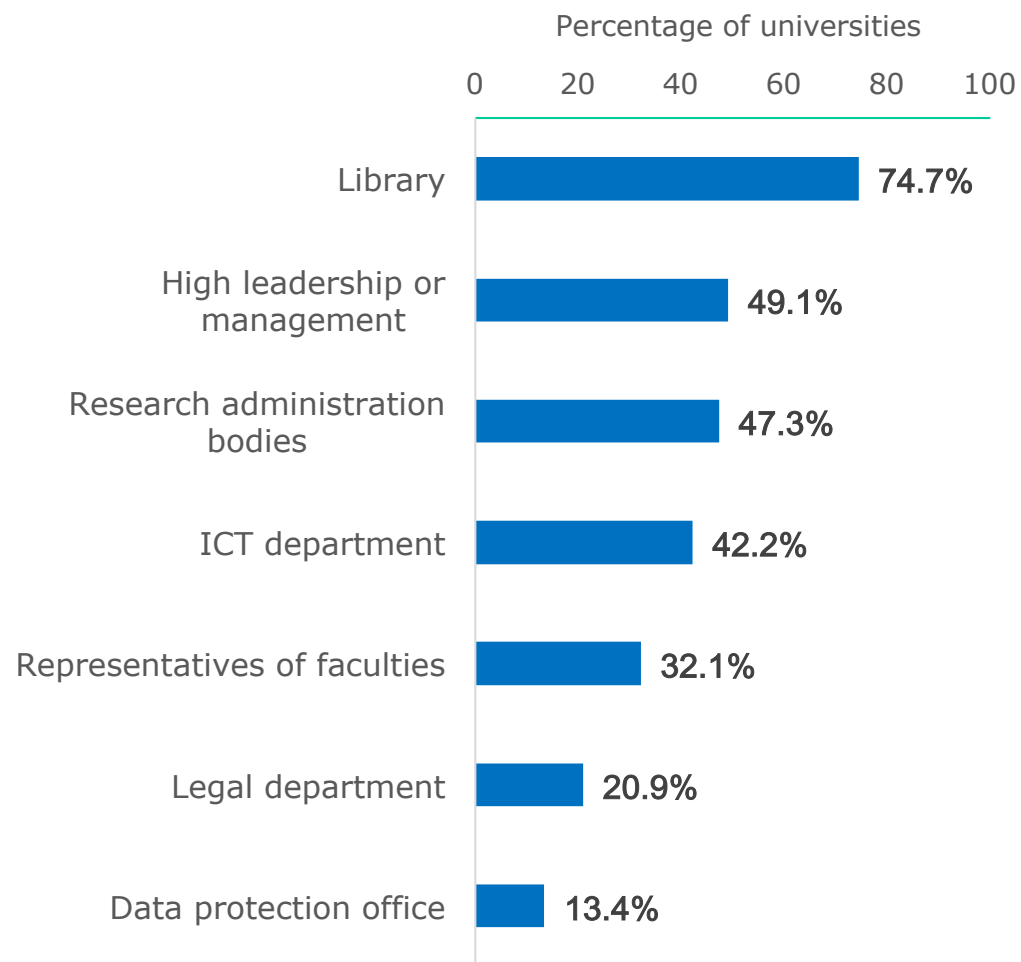
## Existence of an institutional permanent Open Research Data working group or committee



■ Yes ■ No ■ Don't know

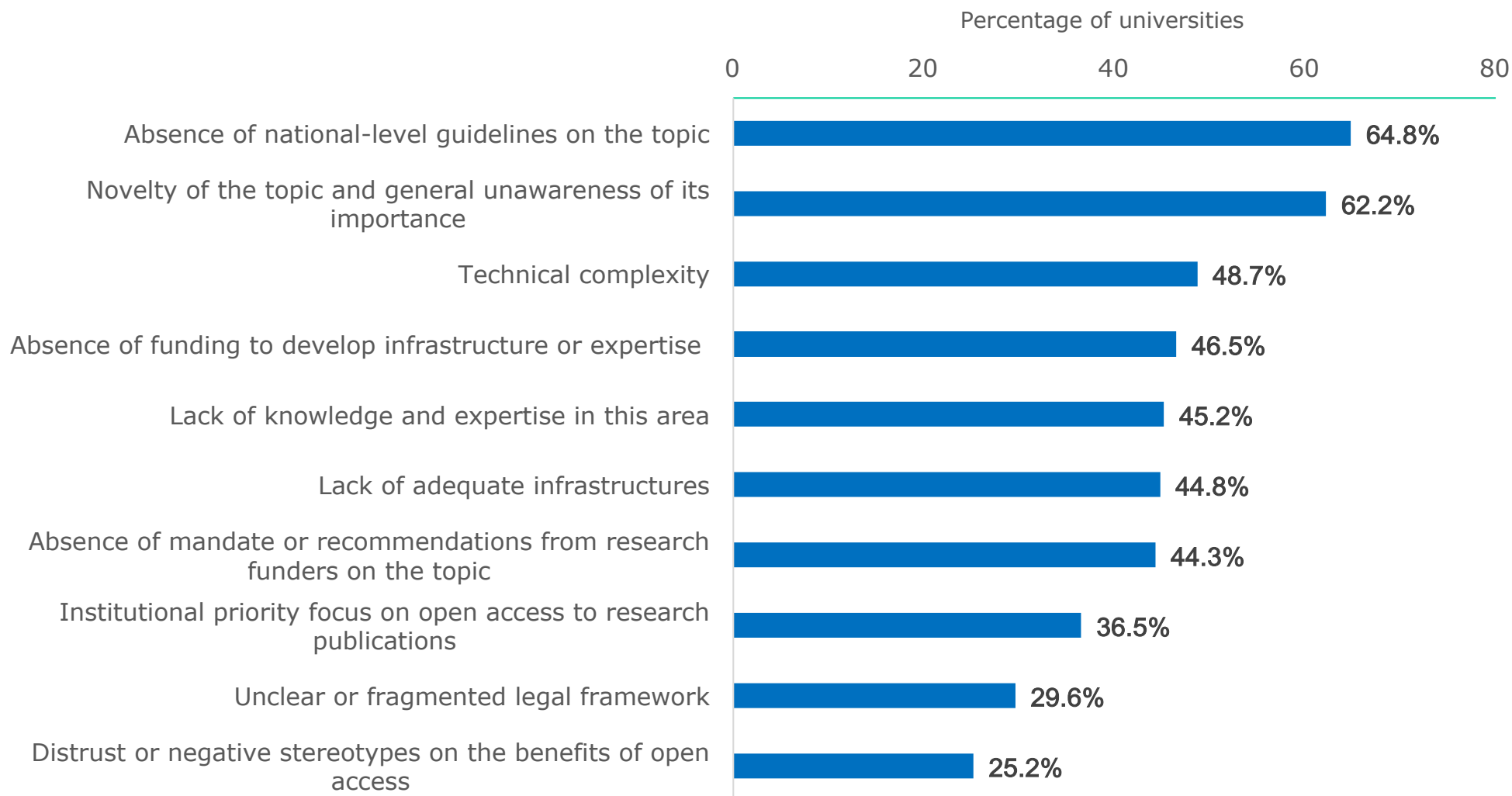
Number of respondents: 331/338

## Composition of institutional governance structure dealing with research data management/OA to research data



Number of respondents: 277/338

## Reasons accounting for the absence of institutional guidelines on RDM and/or OA to research data



Number of respondents: 230/244. Question only applicable to institutions who indicated not having guidelines on RDM and/or OA to research data. Multiple-choice question.

## Support provided by the institution to researchers interested in OA to research data (I)

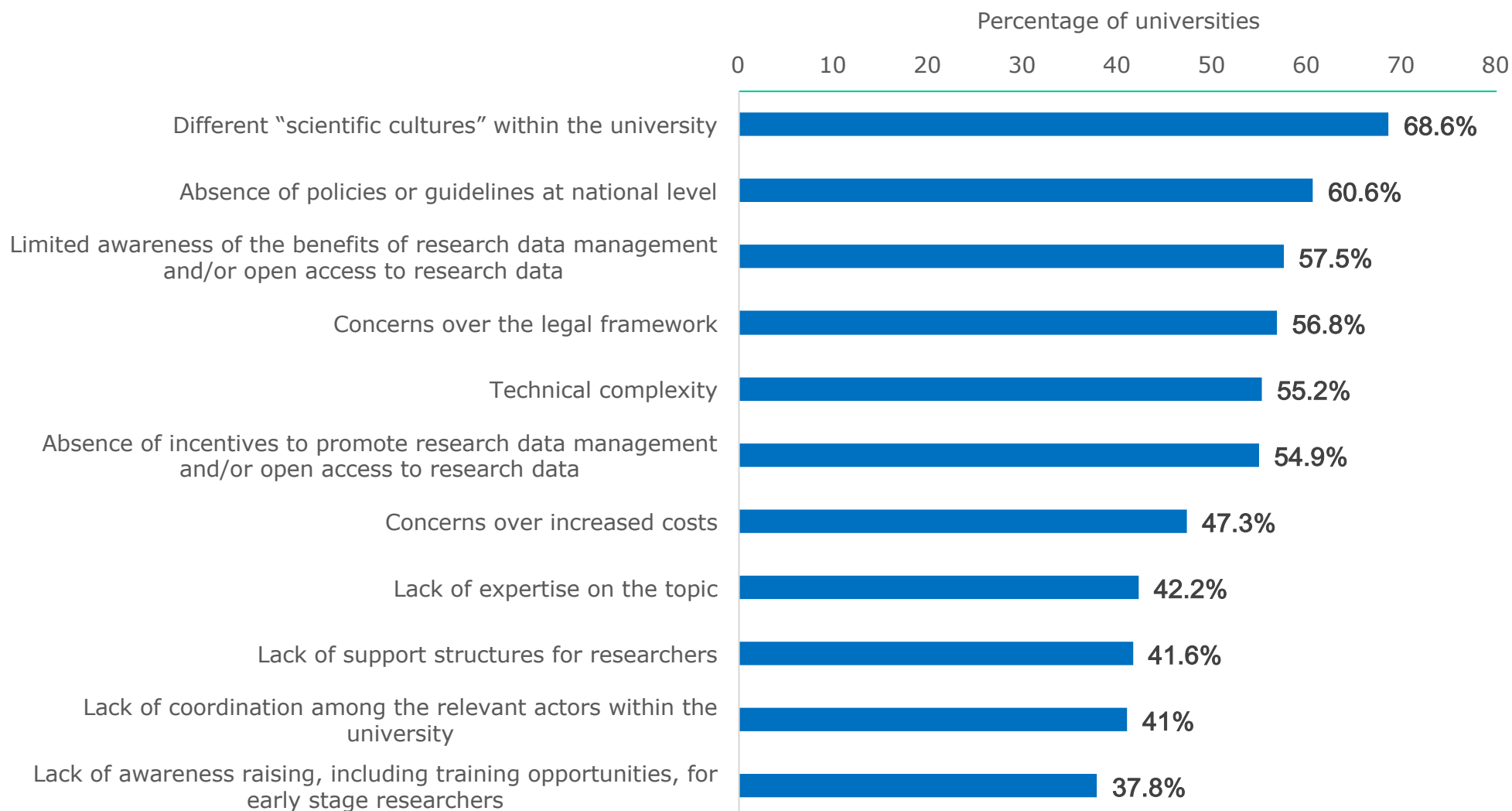
- Some institutions **currently do not provide support** to researchers interested in OA to research data, but they are **planning to do so in the near future** (26%)
- Some institutions provide **training to researchers and support staff** (e.g. workshops on RDM, training on H2020) on a regular basis or ad-hoc, depending on demand (22.6%)
- **Training for graduate students**, including doctoral candidates: some institutions provide courses, workshops or specialised training on open science, research data management, as well as legal and ethical matters. A few institutions provide doctoral candidates with individual support in creating Data Management Plans. (22.6%)
- **Support services provided by the library staff or other specialised staff, typically on a one-on-one basis.** This type of support typically focuses on legal issues, technical help (e.g. archiving, managing data, open access to data, advice on data repositories, information on policies relevant to RDM and/or OA to data) and information on relevant policies. (20.8%)

## Support provided by the institution to researchers interested in OA to research data (II)

- **Institutional website** with information on RDM and OA to research data, blogs, newsletters (13%)
- Some institutions have a **dedicated office or service** available for supporting researchers. Examples include an Open Access office, helpdesk, RDM support desk, university data centre. Support typically focuses on the technical area, legal matters and information on relevant policies. (9.9%)
- **Information events** focusing on RDM and/or OA to research data (6.7%)
- **Financial support to researchers**, including doctoral candidates, to attend events on OA to research data and/or to publish articles in OA (1.8%)



## Main barriers at institutional level in promoting RDM and/or OA to research data



## Importance of actions in the transition to RDM and/or OA to research data

